

Office de la Propriété Intellectuelle du Canada

Un organisme d'Industrie Canada Canadian Intellectual Property Office

An agency of Industry Canada CA 2373640 A1 2001/09/13

(21) 2 373 640

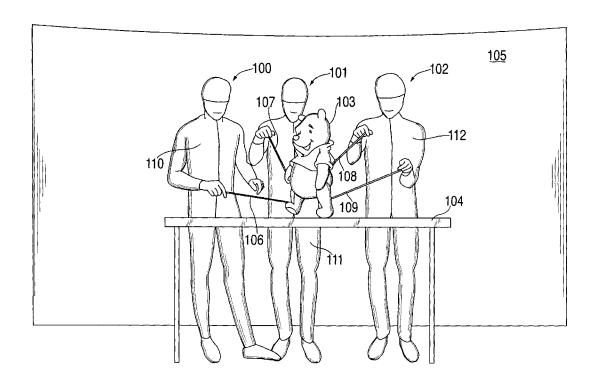
(12) DEMANDE DE BREVET CANADIEN **CANADIAN PATENT APPLICATION**

(13) **A1**

- (86) Date de dépôt PCT/PCT Filing Date: 2000/08/17
- (87) Date publication PCT/PCT Publication Date: 2001/09/13
- (85) Entrée phase nationale/National Entry: 2001/11/07
- (86) N° demande PCT/PCT Application No.: US 2000/022612
- (87) N° publication PCT/PCT Publication No.: 2001/067779
- (30) Priorités/Priorities: 2000/03/08 (60/187,814) US; 2000/03/29 (09/537,529) US

- (51) Cl.Int.⁷/Int.Cl.⁷ H04N 9/74, H04N 9/75
- (71) Demandeur/Applicant: KRIEGMAN, MITCHELL, US
- (72) Inventeur/Inventor: KRIEGMAN, MITCHELL, US
- (74) Agent: SMART & BIGGAR

- (54) Titre: PROCEDE ET DISPOSITIF SERVANT A AMELIORER UNE REPRESENTATION ARTISTIQUE, TELLE QU'UN SPECTACLE DE MARIONNETTES, AU MOYEN D'UN ENSEMBLE VIRTUEL
- (54) Title: AN IMPROVED METHOD AND APPARATUS FOR ENHANCED PUPPETRY OR SIMILAR TYPES OF PERFORMANCES UTILIZING A VIRTUAL SET



(57) Abrégé/Abstract:

An improved method for enhanced puppetry or similar types of performances utilizing a virtual set (200) having a key-color screen (105) behind the set to do live action filming. All objects on the set (200), except for the performing puppet (103), or other objects which are to appear in the final product, are wrapped in a material which matches the color of the key-color screen (105). A virtual background is generated and combined with the live action whereby only the puppet (103) (or other object) appears on the virtual background in real time, with all other objects on the live set, including puppeteers (110-112), for example, being eliminated from the final product.





(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization International Bureau





(43) International Publication Date 13 September 2001 (13.09.2001)

PCT

(10) International Publication Number WO 01/67779 A1

(51) International Patent Classification⁷: H04N 9/74, 9/75

(21) International Application Number: PCT/US00/22612

(22) International Filing Date: 17 August 2000 (17.08.2000)

(25) Filing Language:

English

(26) Publication Language:

English

(30) Priority Data:

60/187,814 09/537,529 8 March 2000 (08.03.2000) US

29 March 2000 (29.03.2000) US

(71) Applicant and

(72) Inventor: KRIEGMAN, Mitchell [US/US]; 59 Horatio Street, New York, NY 10014 (US).

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ, DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

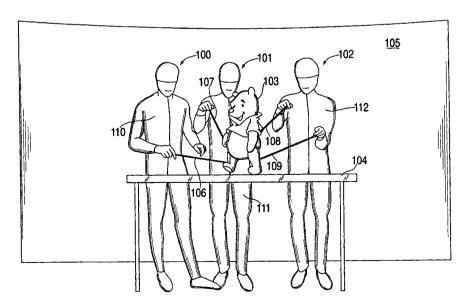
Published:

with international search report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(74) Agent: NOWAK, Keith, D.; Lieberman & Nowak, LLP, 350 Fifth Avenue, New York, NY 10018 (US).

(54) Title: AN IMPROVED METHOD AND APPARATUS FOR ENHANCED PUPPETRY OR SIMILAR TYPES OF PERFORMANCES UTILIZING A VIRTUAL SET



(57) Abstract: An improved method for enhanced puppetry or similar types of performances utilizing a virtual set (200) having a key-color screen (105) behind the set to do live action filming. All objects on the set (200), except for the performing puppet (103), or other objects which are to appear in the final product, are wrapped in a material which matches the color of the key-color screen (105). A virtual background is generated and combined with the live action whereby only the puppet (103) (or other object) appears on the virtual background in real time, with all other objects on the live set, including puppeteers (110-112), for example, being eliminated from the final product.



20

AN IMPROVED METHOD AND APPARATUS FOR ENHANCED PUPPETRY OR SIMILAR TYPES OF PERFORMANCES UTILIZING A VIRTUAL SET

RELATED APPLICATIONS

This application is based on Provisional Application, Serial No. 60/187,814, filed on March 8, 200, entitled "GI Enhanced Puppetry."

5 FIELD OF THE INVENTION

This invention is directed to an innovation in puppetry performances using virtual sets, as well as similar types of performances where it is desirable to selectively combine real images with virtual images in real time.

10 BACKGROUND OF THE INVENTION

Puppet shows have existed since antiquity in almost all countries of the world. In most forms, the puppet is manipulated either directly by hand, or by rods, strings or wires. Shadows of puppets are also sometimes used in a performance.

Perhaps the most advanced form of puppetry is Bunraku Puppetry. This form was originally developed at the end of the sixteenth century in Japan, and later took its name from Uemura Bunrakuken, a famous Japanese promoter in the early nineteenth century.

Bunraku puppetry requires teams of three to four puppeteers to stand behind each puppet and move the arms, legs, mouth and body using rods and the puppeteer's hands. The chief manipulator supports the puppet by inserting his or her left hand into the puppet body from behind, to move the puppet's head and mouth. His or her right hand controls the puppet's right hand. The assistants respectively take charge of the puppet's feet and left hand.

10

15

20

Since three or four people handle the different parts of the puppet, lifelike motion cannot be achieved without precision timing among the manipulators. For all motions, there are detailed rules and forms to be followed. No manipulator is allowed to act on their own.

In Japan, when operating this type of puppet on stage, the manipulators, as a rule, wear black gowns and black hoods. This signifies that the puppet is the main performer with the manipulator remaining behind the scenes. In the Japanese theatrical tradition, black costumes represent the invisible or nothingness.

It is one object of this invention to apply this form of advanced puppetry to the movie and video industry and make the manipulators truly invisible to the viewer in real time, while achieving lifelike movement of the puppets on the screen. This object is achieved through use of a significant improvement to what is known in the film industry as the "blue screen or keycolor process."

It is well known in the motion picture and video industry that two or more images can be combined into a single scene. This technique of combining images is primarily achieved through use of a key-color process in which one image is photographed against a solid key-color background, (such as blue, green or grey) and the second image is substituted in place of that key-color background. It is also known that the background can be various other colors, and that the substitution can be made electronically, or through optical photographic techniques. This process is also known as a keying process.

In such a known system, the image of an announcer, for example, is shot with a live camera in front of a blue screen as a foreground image. Subsequently, a background image is generated in real time as a result of graphics processing performed by a high speed computer, and is super-imposed on the foreground image. The super-imposed portion of the background image

10

15

20

and the foreground image is blanked out by the keying process and a composite image is obtained by fitting an object's image portion of the foreground image into the blank portion.

Although there are many advantages to using the standard key-color process, there are also several disadvantages. For example, the key-color process is generally an iterative process in which the end product, or combined file or video is composited or "married in post" and is not viewable for evaluation until the entire process has been completed. As a result, film producers frequently view the end product and require changes to either the foreground or background portions, requiring that the entire sequence be "reshot." Furthermore, because the process is post rendered in real-time, it is difficult to perform actual interaction between a moving puppet, for example, and the puppet's environment. The normal cycle for "blue screen" film production can require several iterations of this process. Various U.S. patents describe this process and variations thereof in greater detail, including U.S. Patent Nos. 4,689,683, 5,479,597, 5,764,306, 5,949,433 and 6,034,740.

It is, therefore, a further object of this invention to provide a significant improvement to the known key-color process directed to the use of puppetry in the film and video industries utilizing virtual sets.

It is a still further object of this invention to provide an improved keying process which allows the use of an advanced form of puppetry with three or more puppeteers where the producer, the puppeteers, and the director can view the final product in real time as the live puppet show progresses.

It is another object of this invention to apply the inventive method and apparatus to film and video productions which do not necessarily include puppets and puppeteers.

10

15

SUMMARY OF THE INVENTION

In accordance with the invention, a puppet or other object to be filmed is placed on a set in front of a key-color background screen. Additional objects may also be present on the set, such as puppeteers to manipulate the puppet or additional supporting objects.

Prior to commencement of live action filming, all objects on the set, except the puppet or other objects to be seen in the final film product, are covered with material matching the color of the key-color background screen.

A computer generated virtual background is then produced which is combined with the live action filming.

It is a feature of the invention that when the live action is combined with the virtual background, all objects covered with the key-color material disappear from the final viewed product.

It is a further feature of the invention that the puppeteers or other viewers can see the final production in real time with all supporting objects absent from the scene.

The foregoing and other objects and features of this invention will be more fully understood from the following description of an illustrated embodiment thereof, in conjunction with the accompanying drawings.

BRIEF DESCRIPTION OF THE DRAWINGS

In the drawings:

- FIG. 1 illustrates a front view of the virtual set of the instant invention;
- FIG. 2 illustrates a block diagram of the various components utilized to create film and/or video in accordance with the instant invention; and
 - FIG. 3 illustrates a rear view of the virtual set of the instant invention.

WO 01/67779 PCT/US00/22612

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

5

10

15

20

Referring now to FIG. 1, there is shown a virtual set in accordance with one embodiment of the invention directed to puppetry.

Puppet 103 is the type of advanced puppet described above, which takes a minimum of 3 puppeteers/manipulators, 100, 101 and 102 to operate the puppet in a life-like manner.

The puppet rests on table 104, and the background consists entirely of key-color background screen 105. Rods 106-109, etc., are used by the puppeteers to control all movements of puppet 103.

Advantageously, as shown in FIG. 1, the puppeteers wear head-to-foot highly reflective keyable color spandex suits, 110, 111 and 112. As shown, the suits include a hood, gloves, foot coverings and a piece of gauze, or similar material, over the eyes. The key-color of the material completely covering the puppeteers exactly matches the color of key-color screen 105. Similarly, table 104, rods 106-109, the studio floor, and all other objects in the set, except puppet 103, will exactly match the color of key-color screen 105.

In contrast, puppet 103 is designed and built with special care not to include colors in the body of the puppet that would match the key-color. Special care is taken to insure that puppet 103 does not include the key-color in its coloring or shading.

Key-color screen 105 is typically in the shape of a hard cyclorama, which is built and painted a reflective keyable color. This color could be reflective green or blue, or even a highly reflective gray. A cyclorama is essentially a high wall that is curved at the base to eliminate any hard lines in the set that can cause shadows. Ideally, when filming the action of puppet 103, the "shoot" is into the curved corner of two cyclorama walls.

Table 104 is designed to be approximately thirty-six (36) inches high, but, of course, can be in various shapes and forms and multiple tables can be used. All tables to be used on the set

10

15

20

can be adjusted for height and size, and would be painted the same keyable color as screen 105.

These tables are used by the puppeteers to simulate where the ground would be in the virtual set. This gives the puppets, when they walk within the virtual set, something real to step on so the action of walking is believable. These tables can also have a light source within the table to create a glow from below in order to further eliminate shadows where the characters touch the table surface.

The lighting strategies are very important to the success of the inventive technique. Successfully marrying two images in real time -- the live puppets and a virtual background -- without shadows or key-color problems, is the measure of success for the technical process. Suffused lighting that creates an overall lack of shadows is the base of the lighting techniques. The use of the glowing tables is a second key to eliminating shadows. The use of ultraviolet light is another technique that successfully places light in all shadow areas that the camera does not read because it does not register that kind of light. Such lighting strategies are known, have been used with prior art key-color schemes, and will not be described herein in further detail.

Since all objects shown on the set in FIG. 1, except for puppet 103, are the exact same key-color as screen 105, it is to be understood that all such objects can be eliminated from a shot taken of the set shown in FIG. 1 utilizing known key-color techniques. Advantageously, in this way, puppet 103 will appear autonomous, free and independent of control in the final filmed scene. This, also completely eliminates the need for a puppet set, which is an enclosure to hide the puppeteers.

Referring now to FIG. 2, there is illustrated the manner in which a shot is actually taken of the set shown in FIG. 1. Set 200 is the same set as shown in FIG. 1. A shot of the performance on the set is taken with digital camera 204, and the digital output of camera 204 is sent to video compositor 202. It is, of course, understood that a standard film or video camera

10

15

20

could be used in place of a digital camera, with the performance being digitized in a well-known manner. This video compositor can be, for example, an Ultimate device. The Ultimate technique has been used in video compositing for 20 years, is well known in the art, and will not be described in further detail herein.

The virtual background to be combined with the actual performance taken by digital camera 204 is generated by virtual background generator 201.

Virtual backgrounds can be designed within a computer in any variety of virtual software programs. L-set and Maya are two examples, but this software is always changing and being innovated. What is key, is that the virtual system is a real time system that streams the set in real time so that the studio video switching system can composite both the live puppet image with the computerized virtual sets simultaneously. Typically, an Onyx (a high capacity, very fast computer) is used for this purpose, but there are several real time virtual composite systems on the market, all with different degrees of ease of use. The studio itself, to be used with the invention, as far as control room equipment is concerned, can be conventional, requiring tape machines, switchers and all the usual elements although maximized for good key-color technique.

After the outputs of digital camera 204, and virtual background generator 201 are combined in video compositor 202, the combined output is sent to digital monitor 203. Advantageously, what is shown on digital monitor 203 is only the movements of puppet 103 superimposed on the virtual background generated by virtual background generator 201. The puppeteers, which were controlling the puppet on the actual set, shown in FIG. 1, are completely eliminated from the final product, and only the puppet and the virtual background are visible.

FIG. 3 illustrates a rear view of the set in FIG. 1 showing what the puppeteers see while manipulating puppet 103. As indicated, the puppeteers can readily watch the finished product

on monitor 203, while the action is taking place. Most importantly, since the final image is generated and composited in real time as the actual performance is shot by digital camera 204, the puppeteers can see the composited final image on the monitor in real time. Therefore, the puppeteers have the complete ability to interact with their environment, any virtual and real objects in that environment and each other.

Although one specific embodiment of the invention has been shown and described, it will be understood that various modifications can be made to both the apparatus and method of the present invention without departing from the spirit and scope of the invention.

WHAT IS CLAIMED IS:

- 1. Apparatus for improved puppetry for film and/or video productions utilizing a virtual production set comprising:
- 5 a key-color background screen;
 - a source for creating a virtual background;
 - a puppet manipulated by a plurality of puppeteers, said puppeteers covered in a material which matches the color of said key-color screen;
- a camera for recording live images of the puppet and puppeteers against the background of the key-color screen;
 - apparatus for combining said live images and said virtual background and removing from said combined image the appearance of said puppeteers; and a monitor to display said combined image.
- 15 2. A method for creating improved puppetry productions utilizing a virtual set comprising the steps of:

positioning an articulated performing puppet to be filmed in front of a key-color background screen, said articulated puppet being manipulated by a plurality of puppeteers, each puppeteer being covered in a material which matches the color of said key-color background screen;

filming, in real time, movements of said articulated puppet as it is manipulated by said puppeteers;

creating a virtual background to appear in said film or video production; and

10

15

combining in real time said live film and said virtual background whereby said puppet appears in said virtual background minus any appearance of said puppeteers.

3. A method for creating a virtual film or video production comprising the steps of:

positioning an object to be filmed on a set in front of a key-color background screen, said set further including additional supporting objects not to be included in a final film product;

covering some or all of said additional supporting objects, prior to commencement of filming, with a material that matches the color of said key-color background screen;

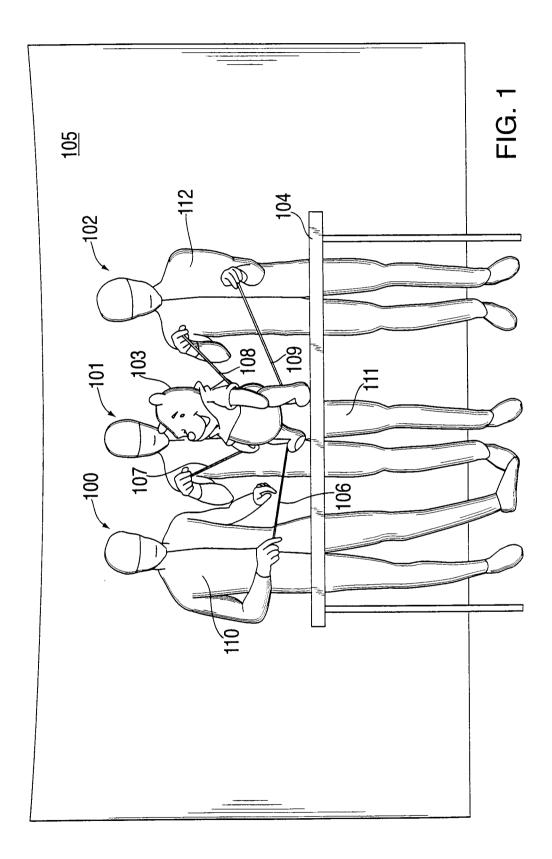
filming, in real time, movements of said object and said additional supporting objects;

creating a virtual background to appear in said film;

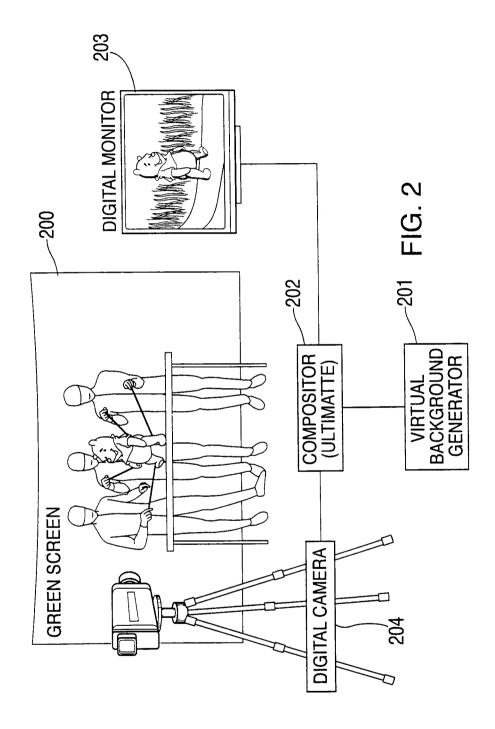
combining in real time said film and said virtual background whereby said object appears in said virtual background minus any appearance of said covered additional supporting objects.

- 4. A method in accordance with Claim 3, wherein said object to be filmed is an articulated puppet.
- 5. A method in accordance with Claim 4, wherein said additional supporting objects are puppeteers.

1/3



2/3



WO 01/67779 PCT/US00/22612

3/3

